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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of Glenn Schrock
Serial No. 10/681,463
Filing Date: 10/09/03
For: Folding Steps

Commissioner for Patents
P. O. Box 1450
Alexandria, VA 22313-1450

STATEMENT UNDER 37 C.F.R. 1.97 AND 1.98

The US Patents discussed below are listed on the attached PTO-1449.US 988,086; 1,165,588; 2,861,731; 3,130,814; 3,207,260; 3,142,355; 4,711,282; 6,571,915; D148,385; D157,436 and D214,264 show portable ladders and steps.

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Respectfully submitted,

Charles M. Kaplan
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**CERTIFICATE OF MAILING**

I, Charles M. Kaplan, hereby certify that the foregoing Statement Under 37 C.F.R. 1.97 and 1.98 has been deposited with the U.S. Postal Service, with adequate first class postage prepaid, in an envelope addressed to the Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450, this 19th day of November, 2003.

By Charles M. Kaplan
Charles M. Kaplan
Registration No. 19,416

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| FORM PTO 1449 (Rev. 7/80) U.S. Department of Commerce Patent and Trademark Office<br>LIST OF PRIOR ART CITED BY APPLICANT<br>(Use several sheets if necessary) | ATTORNEY DOCKET NO.<br>S-1 | Sheet 1 of 1 |
|---|---|---|
| | APPLICANT:<br>Glenn Schrock | SERIAL NO<br>10/681,463 |
| | FILING DATE 10/09/03 | |

U. S. PATENT DOCUMENTS

| Examiner Initial * | | Document Number | DATE | NAME | CLASS | SUB-CLASS | FILING DATE IF APPROPRIATE |
|---|---|---|---|---|---|---|---|
| | AA | 9 8 8 0 8 6 | 3-28-11 | Garraway | | | |
| | AB | 1 1 6 5 5 8 8 | 12-28-15 | Garraway | | | |
| | AC | 2 8 6 1 7 3 1 | 5-2-57 | Robinson | 228 | 36 | |
| | AD | 3 1 3 0 8 1 4 | 4-28-64 | Aquila | 182 | 106 | |
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| | AF | 4 7 1 1 2 8 2 | 12-8-87 | Frazier | 182 | 106 | |
| | AG | 6 5 7 1 9 1 5 | 6-3-03 | Tour | 182 | 152 | |
| | AH | D 1 4 8 3 8 5 | 1-13-48 | Paulus | D15 | 8 | |
| | AI | D 1 5 7 4 3 6 | 2-21-50 | Rogers | D15 | 8 | |
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| | AK | 3 1 4 2 3 5 5 | 7-28-64 | Danielson | 166 | 42 | |

FOREIGN PATENT DOCUMENTS

| | | Document Number | DATE | COUNTRY | CLASS | SUB CLASS | TRANSLATION YES | TRANSLATION NO |
|---|---|---|---|---|---|---|---|---|
| | AL | | | | | | | |
| | AM | | | | | | | |
| | AN | | | | | | | |

OTHER PRIOR ART *(Including Author, Title, Date, Pertinent Pages, Etc.)*

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| | AO | |
| | AP | |
| | AR | |

| EXAMINER | DATE CONSIDERED |
|---|---|

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.